



Department of Energy

Golden Field Office
15013 Denver West Parkway
Golden, Colorado 80401

DE-FOA-0001479

Amendment: 0003

DATE: February 24, 2016
FROM: Robert D. Kingsley, Contracting Officer
TO: All Prospective Applicants

SUBJECT: Amendment 0003 to Notice of Opportunity for Technical Assistance (NOTA)
"Remote Alaska Communities Energy Efficiency Competition"

1. The purpose of this amendment is to divide Phase 2 of the NOTA into two topic areas. The "Phase Areas and Scope of Activities", the "Content and Form of the Application" and the "Review Criteria" sections of the NOTA have all been modified to account for dividing Phase 2 into 2 topic areas.
2. Additional language was added to clarify allowable TA for integrated renewable energy.

Modifications to the NOTA have been highlighted in yellow. All other parts of the NOTA remain unchanged.

Questions concerning this NOTA shall be submitted not later than 3 calendar days prior to the application due date.



Department of Energy

Golden Field Office
15013 Denver West Parkway
Golden, Colorado 80401

DE-FOA-0001479

Amendment: 0002

DATE: January 26, 2016
FROM: Robert D. Kingsley, Contracting Officer
TO: All Prospective Applicants

SUBJECT: Amendment 0002 to Notice of Opportunity for Technical Assistance (NOTA)
"Remote Alaska Communities Energy Efficiency Competition"

1. The Purpose of this amendment is to extend the deadline for submitting applications for Pledge Designation (Phase 1) until 5 p.m. ET on February 2, 2016.

Questions concerning this NOTA shall be submitted not later than 3 calendar days prior to the application due date.

All other parts of the NOTA remain unchanged.



Department of Energy

Golden Field Office
15013 Denver West Parkway
Golden, Colorado 80401

DE-FOA-0001479

Amendment: 0001

DATE: January 12, 2016
FROM: Robert D. Kingsley, Contracting Officer
TO: All Prospective Applicants

SUBJECT: Amendment 0001 to Notice of Opportunity for Technical Assistance (NOTA)
"Remote Alaska Communities Energy Efficiency Competition"

1. The Purpose of this amendment is to revise the Pledge Form.

Questions concerning this NOTA shall be submitted not later than 3 calendar days prior to the application due date.

All other parts of the NOTA remain unchanged.

DE-FOA-0001479: Notice of Opportunity for Technical Assistance (NOTA) for Remote Alaska Communities Energy Efficiency Competition

Fiscal Year 2016

Office of Energy Efficiency and Renewable Energy

And

Office of Indian Energy Policy and Programs

Key Dates	
Notice of Technical Assistance Issue Date	December 18, 2015
Informational Webinar (Optional)	Please register for Remote Alaska Communities Energy Efficiency Competition Notice of Technical Assistance Webinar on December 22, 2015. Slides will be available on EERE Exchange after the webinar.
Submission Deadline for Applications	Phase 1: Applications for Pledge Designation may be submitted beginning December 18, 2015 until 5 p.m. ET on February 2, 2016. Phase 2: Applications for Energy Efficiency Technical Assistance may be submitted beginning March 1, 2016 until 5p.m. ET on March 31, 2016.
Expected Date for EERE Selection Notifications	For Phase 1: February 24, 2016 For Phase 2: April 27, 2016
Summary Information	
Means of Submission	Applications for both Phases will be accepted: <ul style="list-style-type: none"> • online through the online application portal; EERE Exchange at https://eere-Exchange.energy.gov, • via email at AlaskaCompetition@hq.doe.gov • via fax at 240-562-1640
Total Value of Technical Assistance to be Provided	Up to \$600,000
Value of Technical Assistance Provided Per Project	DOE anticipates providing approximately \$5,000 to \$100,000 per selected project in the form of Technical Assistance provided by Technical Assistance teams.
Period of Performance	For Phase 1: The pledge designation and Community Efficiency Champion peer network will be active for up to 48 months. For Phase 2: Technical Assistance period of performance will be for up to 36 months.
Eligible Entities	For Phase 1: Alaskan communities with no more than 8,000 residents For Phase 2: Entities designated as Community Efficiency Champions under Phase 1 For detailed Eligibility Qualifications refer to Section II. D. of this NOTA
Cost Share Requirement	There is no Cost Share Requirement for either Phase under this Notice
Submission of Multiple Applications	Applications may request Technical Assistance for multiple projects. Only one application may be submitted per community. Though an organization may apply on behalf of multiple communities, only one application may be submitted per community.
Additional Information	Additional information can be found at http://energy.gov/remote-alaskan-communities-energy-efficiency-competition
Questions	Questions may be directed to AlaskaCompetition@hq.doe.gov . or via phone to U. S. Dept. of Energy, Golden Field Office 720-356-1764

SECTION I: DESCRIPTION AND PHASE

A. SUMMARY

The purpose of this Notice of Technical Assistance (NOTA) is to support the goals of the Department of Energy's (DOE) Remote Alaskan Communities Energy Efficiency Competition (RACEE Competition), announced by President Obama.¹

The RACEE Competition will empower Alaskan communities and native Alaskan villages to develop effective tools to advance the use of reliable, affordable, and energy efficient solutions that are replicable throughout Alaska and other Arctic regions. This NOTA is intended to implement the first two phases of the RACEE Competition: Phase 1 will designate qualified Alaskan communities that pledge to reduce energy use as "Community Efficiency Champions". These "Champions" will gain access to a peer network and become qualified to apply for Phase 2, which will provide Technical Assistance (TA) to selected Community Efficiency Champions.

Applicants that become designated as Community Efficiency Champions will be expected to actively share information, best practices and lessons learned with their peers related to their energy efficiency plans and actions. This will be done through quarterly reporting for Phase 2 recipients and participation in monthly peer network activities for all recipients.

B. GOALS

DOE has several goals for the RACEE Competition that should be kept in mind by Applicants to this NOTA. Some of those goals include:

- Accelerating efforts by remote Alaskan communities to adopt sustainable energy strategies and technologies;
- Addressing high energy costs by incentivizing clean energy and energy-efficiency solutions;
- Empowering Alaskan communities to develop solutions that can effectively advance the use of reliable, affordable clean-energy and energy-efficient solutions that can be replicated throughout Alaska and other Arctic regions;
- Engaging with existing convening efforts and Technical Assistance expertise by Alaska stakeholders to foster or enhance peer networks and benefit all Community Efficiency Champions.

C. BACKGROUND

DOE coordinates with the Arctic Executive Steering Committee (AESC), established in January 2015 by executive order "to prepare the Nation for a changing Arctic and enhance coordination of national efforts in the Arctic". DOE's Office of Energy Efficiency and Renewable Energy (EERE) and the Office of Indian Energy Policy and Programs (IE) are collaborating to fund and develop the RACEE Competition and engage partners and stakeholders. DOE is focused on near- and

¹ FACT SHEET: President Obama Announces New Investments to Combat Climate Change and Assist Remote Alaskan Communities, <https://www.whitehouse.gov/the-press-office/2015/09/02/fact-sheet-president-obama-announces-new-investmentscombat-climate>

mid-term results that can be replicated in other Arctic communities. Accordingly, emphasis will be on projects and activities with the greatest potential to create effective and replicable solutions, specifically those that assist DOE in supporting the remote Alaskan communities and native villages via peer-to-peer exchange.

D. PROGRAM STRUCTURE

This Notice is structured with two Phases. Phase 1 is a designation program that recognizes local and tribal communities in Alaska that are committed to reducing energy use as “Community Efficiency Champions”. This designation will provide access to a Community Efficiency Champion peer network and eligibility to apply to Phase 2. Phase 2 will provide Technical Assistance for selected communities to gather baseline energy data and develop plans to implement energy efficiency improvement projects. In addition, communities selected to receive Technical Assistance under Phase 2 will be eligible to apply for a future Funding Opportunity Announcement for funding to implement plans developed under this NOTA.

As described in more detail below, the designated Community Efficiency Champions will have the opportunity to access existing Federal Technical Assistance programs and be eligible to compete, through submission of a full application, for the Phase 2 targeted Technical Assistance from the Department of Energy (DOE). For Phase 2, if selected, it is anticipated most individual projects would receive Technical Assistance valued between \$5,000 and \$100,000.

In addition to the designation and targeted Technical Assistance opportunities, all designated Community Efficiency Champions communities will be invited to participate in a Peer Network convened by the Alaska partner. The network is intended to empower communities with the information and tools needed to make more informed, strategic decisions regarding their energy future and will provide great benefit, regardless of their participation in the NOTA Phase 2. This will allow communities facing similar problems and similar contexts to learn from each other. To accomplish this, the Alaska partner will connect with and potentially grow existing regional peer networks.

For projects selected under Phase 2 of this NOTA, DOE and its Alaska partners will provide tailored hands-on Technical Assistance to the selected Community Efficiency Champions through the use of specifically assembled Technical Assistance teams. Members of these teams will be subject matter and technical experts in areas such as architecture, building science, finance, planning, project management, technologies, policy, regulation, etc. Significant participants of these teams will be selected at DOE’s and its Alaska partner’s discretion and could include Technical Assistance providers already providing assistance in Alaska, and DOE’s Laboratory System. Other entities may be added to the teams as necessary.

DOE anticipates entering into up to 20 agreements to provide Technical Assistance to selected organizations under Phase 2 of this Notice. The actual number of agreements and the value of Technical Assistance provided to each selected project will depend on the amount of Technical Assistance required by selected projects and the amount of funding available to support the Technical Assistance.

The period of performance of any individual agreements will be negotiated to accommodate the specific project being supported. The period of performance for typical projects will depend on project complexity and other factors, and will not exceed 36 months. Communities selected to receive Technical Assistance will also be eligible to apply to a Funding Opportunity Announcement (FOA) to receive funding to implement the plans developed. This FOA is expected to be opened in Summer 2016, though Technical Assistance activities may extend beyond the FOA dates.

Technical Assistance provided under this Notice is designed to help entities make informed decisions. Technical Assistance teams will provide information and options to enable planning and purchasing decisions. DOE and the Technical Assistance teams will not direct behavior, make decisions, or require entities to take any particular course of action.

E. PHASE AREAS AND SCOPE OF ACTIVITIES

There are two Phases under this NOTA. Phase 1 designates eligible communities that pledge to reduce energy use as “Community Efficiency Champions”. This designation provides access to a Community Efficiency Champions peer network and eligibility to apply to Phase 2. Phase 2 will provide Technical Assistance to selected Community Efficiency Champions to gather baseline energy data and develop plans to implement energy efficiency improvements. Communities selected for Technical Assistance in Phase 2 will also be eligible to apply to a future FOA for funding to implement projects.

Phase 1 – Community Efficiency Champions Pledges

Phase 1 of the NOTA will collect pledges with commitments to reduce energy use, take action and share information in the peer network. DOE will select qualified Alaskan communities and native villages for designation as “Community Efficiency Champions”. Together the “Community Efficiency Champions” communities will comprise a peer network made up of Remote Alaskan communities and native Alaskan villages that pledge to reduce energy use by a minimum of 15% (per capita) by 2020, and participate in the DOE-recognized peer network.

DOE hopes to engage a large group of Alaskan communities and native villages in the Pledge process. To ensure the pledge is a firm commitment, communities will be encouraged to provide baseline energy use data. Data collection consists of building inventory, energy use measurement and benchmarking and can be conducted before the pledge to provide accurate data in the pledge, or, after the pledge, to the communities selected in Phase 2 as part of the Technical Assistance provided to the Champion. Additional outreach by the Alaskan partner will help communities determine energy use and provide them with the necessary tools.

Applications should include the Pledge Form, available online at EERE Exchange, or via email request to AlaskaCompetition@hq.doe.gov and should be a maximum of five pages. The form will require information on population size, remote location, current energy use, plans to reduce energy use, cost of energy current energy use estimate and corresponding methodology. If a community identifies an eligible TA provider they know has done this work previously, DOE will

use the information to understand the details of the community's project concept. DOE is under no obligation to make this TA provider available to do the work.

Phase 2 - Technical Assistance:

Phase 2 of the NOTA will provide up to 20 communities with Technical Assistance to gather baseline energy data and /or develop plans to implement energy efficiency and renewable energy integration improvements. The plans will be comprehensive and in some cases will enable the communities to apply for appropriate loan and grant programs. Technical Assistance deliverables for each plan include: project scope, energy baseline, cost estimate, project business plan, management team that addresses any organizational issues between tribes in a community or between a village and a tribe living within, design team, financing plan, and permitting strategy.

The goals of the Phase 2 Technical Assistance are to accelerate community energy planning, from current status to a point where they are nearer to or ready to apply for implementation funding, either via a grant or loan. The Community Efficiency Champions network will provide peer exchange on energy related education and training activities to ensure that any participating communities regardless of limited human capital or other resources, are not functionally excluded from implementing energy efficiency.

Topic Area 1:

Topic Area 1 is intended for communities that are in early planning stages and may have limited or no energy use data. Under this Topic area, technical assistance is likely to start with activities such as the collection of energy use data (i.e. benchmarking), conducting energy audits on buildings, and/or developing a long-term community energy strategy, or conducting an energy options analysis to identify potential future energy projects. The intent is that this Technical Assistance is to determine energy options and develop energy projects to a point necessary to apply for project funding. Project funding or financing may include grants associated with the RACEE Competition, or other grants or loans.

For example: If you have *not* had energy audits or tracked building energy use, you could apply under this Topic Area to have audits done, assistance in measuring energy use and help prioritizing which energy efficiency project to tackle first.

Topic 1 Communities characteristics might include

- Have little to no detailed energy use data (For example: electricity and heating fuel information per-building and/or community-wide).
- Have completed limited or no strategic energy planning
- Have general ideas about energy use, but could use expert help in evaluating the most cost effective improvement plan
- Have not received technical assistance related to energy use or energy efficiency in the last five years

Typical Topic 1 starting Technical Assistance activities

- Walk through (Level 1) Energy Audits
- Comprehensive Building inventory of all non-residential buildings
- Electricity and fuel use data collected for as many buildings as feasible
- Evaluation of Powerhouse efficiency opportunities
- Evaluation of Water / Sewer efficiency opportunities
- Community Kick-off Meeting and Community Energy Planning
- Energy use and project cash flow analysis

Topic Area 2:

Topic Area 2 is intended for communities that already have baseline energy data and who may have identified potential energy efficiency and renewable energy integration projects.

Generally, communities applying under Topic Area 2 would already have building/facility energy use data, may have begun to develop energy strategies or energy efficiency projects, and who would benefit from more targeted project-related technical assistance. Targeted technical assistance could include supplementing existing energy data, assistance with updating energy plans, or help in developing plans, scope of work and design for specific projects, etc. The goal of Technical Assistance under topic Area 2 is to develop projects to a point necessary to apply for project funding or financing. Project funding or financing may include grants associated with the RACEE Competition, or other grants or loans.

For example: If you have had building energy audits in the past eight years, have measured energy use and/or prioritized energy efficiency projects, you may request assistance under this Topic Area to update that information or receive advice on those project plans before submitting an application under RACEE or for other funding or loan opportunities.

Topic 2 Communities characteristics

- Have some energy audit information, from within the last 8 years
- Have strategic energy planning, prioritized energy efficiency projects
- Have identified targeted areas for improvement (e.g., specific buildings, projects)

Typical Topic 2 Technical Assistance activities

- Engineering and design
- Policy and regulatory planning
- Project development assistance

Applications for Phase 2 must indicate which Topic Area is appropriate; Applicants can only apply to one Topic Area.

Selection Considerations

The Technical Assistance is intended to support projects that reduce energy use in ways that are relevant to each community, and can also likely be replicated in other Alaskan or Arctic communities. Successful applications will focus on energy efficiency improvements and **renewable energy integration**. Key areas of interest are in heating and weatherization, addressing community infrastructure with high energy usage/costs (such as, but not limited to, water treatment and distribution systems, washeterias, and schools), and electrical efficiency improvements, with a priority on first, end-use energy efficiency measures, and second, supply-side energy efficiency measures. This includes proposals to integrate renewable generation that replaces existing inefficient capacity and equipment.

Proposals to add electrical generation capacity will be considered non-responsive.

Examples of projects that could be developed under Phase 2 include but are not limited to: Weatherization and retrofitting, developing and implementing improved long-term maintenance programs; heat pumps; **solar and biomass fueled systems** (space and water); heat recovery systems; remote monitoring systems; digital control systems; upgrades to generators and power controls; water treatment system operations improvements; LED lighting and outdoor lighting upgrades; more efficient boilers; combined heat and power **(CHP)**; **thermally activated technologies**; and **electric distribution demand response**.

Technical Assistance Application Requirements

The TA applications will provide information on current community energy management and specific TA needs. For some, this would start with collection of energy usage data. Others may start with a facility energy audit. Still others may already have these things and need very little TA to be ready to apply for implementation grants. In order for a TA application to be responsive to the criteria for competition, applicants should address the following:

1. Demonstrate a high cost of energy, or a high percentage of energy costs for heating;
2. Demonstrate needs for energy usage data, analysis, maintenance and/or retrofits to reduce energy usage;
3. Provide a list of existing partnerships with technical providers or other agencies with resources and knowledge that you currently use and if they will be applicable to this project;
4. Provide anticipated technologies to implement and anticipated impacts of the proposed work on the community (economic, quality of life, social, health, etc.);
5. Address replicability and relevance of planned activities to other Remote Arctic Communities;
6. Demonstrate community economic need (to ensure the Competition benefits the communities with the most compelling needs);
7. Provide energy usage figures, an explanation of the source of information and the methodology used to meet the reduction and cost savings pledged;
8. Provide an estimate of the cost for the proposed project;
9. Request the type of the Technical Assistance desired for the project; and
10. Name a person to be the point of contact and specify how much of their time will be devoted to the Competition.

Eligible Uses of Technical Assistance

Eligible Technical Assistance will include baseline data collection, monitoring and analysis, community training and education activities, feasibility planning, policy and regulatory planning, tools, modeling, engineering and design assistance, and other project development assistance. Communities selected to receive Technical Assistance can indicate their interest to field-test diesel fuel meter systems.

Applicants may request Technical Assistance that is complementary to that provided by other Federal agencies supporting the RACEE Competition. However, applications that request Technical Assistance that has already been received by that community and/or is duplicative of that provided by other Federal agencies will not be considered under this Notice.

SECTION II: AGREEMENT INFORMATION AND ELIGIBILITY

A. TYPE OF AGREEMENT

The RACEE Community Efficiency Champions selected under Phase 1 of this Notice will receive the designation of RACEE “Community Efficiency Champions” and be given access to a Community Efficiency Champions Peer Network and other resources. In addition, Champions become eligible to apply for TA under Phase 2.

The Community Efficiency Champions selected under Phase 2 of this Notice will receive Technical Assistance provided through DOE by entities organized as Technical Assistance teams by DOE. It is anticipated that Technical Assistance under this NOTA will be provided via Technical Assistance agreements between (1) a Technical Assistance Provider and (2) Selected Community Efficiency Champions. DOE will provide the funding for the selected Technical Assistance project directly to the Technical Assistance Provider. With DOE’s approval, the Technical Assistance Providers can use the funds to support the selected Community Efficiency Champions on their Technical Assistance projects. In limited circumstances, the use of a Work for Others Agreement, a Cooperative Research and Development Agreement (CRADA), or a Memorandum of Agreement (MOA) may be used instead of a Technical Assistance agreement. In addition, communities selected under Phase 2 will become eligible to apply for a future FOA for funding to implement projects.

There is no direct funding to the applicant under this Notice of Technical Assistance. Selected Applicants will receive Technical Assistance, not funding.

B. ESTIMATED FUNDING

DOE anticipates that Technical Assistance resources valued at up to \$600,000 will be available under this program in FY 2016, subject to availability of funding. DOE will provide the funding to the Technical Assistance Teams that will provide the Technical Assistance for the selected projects.

For Phase 1: DOE will not provide Technical Assistance under Phase 1.

For Phase 2: DOE anticipates providing approximately \$5,000 to \$100,000 per selected project in the form of Technical Assistance. DOE anticipates making up to 15 awards in either topic area, but not to exceed a total of 20 TA awards combined.

DOE is under no obligation to pay for any costs associated with preparation or submission of applications and reserves the right to provide Technical Assistance in whole or in part, to any, all, or none of the applications submitted in response to this Notice.

C. PERIOD OF PERFORMANCE

For Phase 1, pledge designation and Community Efficiency Champions peer network will be active for up to 48 months.

For Phase 2, the period of performance for Technical Assistance will be up to 36 months.

D. ELIGIBILITY

1. Phase 1 Eligible Entity.

Only the following types of Applicants are eligible to apply to this NOTA. Applicants that do not meet the requirements of this subsection will be deemed ineligible and their applications will not be reviewed or considered.

Specifically, EERE and IE intend to restrict eligibility for this NOTA to the following class of recipients:

Eligible communities will be Alaskan communities and native Alaskan villages with a population no greater than 8,000 residents.

“Communities” are defined as Alaskan local and tribal governments, or consortia thereof. For the purposes of this initiative, local governments may include a village, borough, municipality, town, township, local public authority (including any public and Indian housing agency), school district, special district, intrastate district, council of governments, any other regional or interstate government entity, or any agency or instrumentality of a local government.

To be considered as a tribal government for the purposes of this Announcement, the entity must be any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. 1601 et seq.], which is recognized as eligible for the special programs and services provided by the United States to Native populations because of their status as Native populations. In accordance with EPCA 2005 authorities and consistent with 2 CFR 910.126(b), eligibility for award as a tribal

government under this NOTA is restricted to: (1) an Indian Tribe; or (2) Tribal Energy Resource Development Organization; and on whose (3) Indian Lands the project(s) will be located.

To be considered eligible, an “Indian Tribe” (including Alaska Native villages, but not regional or village corporations), must be federally recognized as listed in Indian Entities Recognized and Eligible to Receive Services from the United States Bureau of Indian Affairs, published by the Department of Interior’s Bureau of Indian Affairs in the Federal Register on January 14, 2015, 80 FR 1942.

a) “Tribal Consortium” (plural consortia), for purposes of this announcement, means a group of Indian tribes (as defined above), that have chosen to submit a single application. Under this announcement, a Tribal Consortium is eligible to submit an application provided the application is submitted by a single Indian tribe representing the Consortium.

b) “Tribal Organization,” for purposes of this announcement means any legally established organization of an Indian Tribe, which is controlled, sanctioned, or chartered by the recognized governing body of that Indian Tribe. A “Tribal Organization” may include, but is not limited to, a subsidiary, subdivision, or instrumentality of an Indian Tribe, established under Congressional, State or Tribal law to act on behalf of an Indian Tribe. Applications may be submitted by an authorized “Tribal Organization”, provided evidence of the authority to submit an application, relative to the proposed project, is supplied as part of the application. Evidence may include, but is not limited to, a constitution, resolution, ordinance, executive order, charter or other legal documentation. DOE will determine the sufficiency of the authorization based on the evidence submitted as part of the application.

c) “Inter-Tribal Organization,” for purposes of this announcement, means any organization comprised of two or more Indian Tribes, established under Congressional, State, or Tribal law to act on behalf of the participating Indian Tribes. “Inter-Tribal Organizations” may include, but are not limited to, inter-tribal councils, regional tribal organizations or associations, and tribal federations. Applications may be submitted by an authorized “Inter-Tribal Organization”, provided evidence of the authority to submit an application, relative to the proposed project, is supplied as part of the application. Evidence may include, but is not limited to, a resolution, ordinance, executive order, charter, P.L. 93-638 contract, self-governance compact, or other legal documentation. DOE will determine the sufficiency of the authorization based on the evidence submitted as part of the application.

2) “Tribal Energy Resource Development Organization” for purposes of this announcement means an “organization” of two or more entities, at least one of which is an Indian Tribe (see “Indian Tribe” above) that has the written consent of the governing bodies of all Indian Tribes participating in the organization to apply for a grant or loan, or other assistance under 25 U.S.C. § 3503, where “organization” means a partnership, joint venture, Limited Liability Company

(LLC) or other unincorporated association or entity that is established to develop Indian energy resources.”

- 3) “Indian Lands” or “Indian Country” for purposes of this Announcement, is defined as:
- (a) any land located within the boundaries of an “Indian reservation” (see definition below), pueblo, or rancheria;
 - (b) any land not located within boundaries of an Indian reservation, pueblo, or rancheria, the title to which is held –
 - (i) in trust by the United States for the benefit of an Indian Tribe or an individual Indian;
 - (ii) by an Indian Tribe or an individual Indian, subject to restriction against alienation under laws of the United States; or
 - (iii) by a dependent Indian community; and
 - (c) land that is owned by an Indian Tribe and was conveyed by the United States to a Native Corporation pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. § 1601 et seq.), or that was conveyed by the United States to a Native Corporation in exchange for such land;
 - (d) lands held in fee simple (purchased or owned);
 - (e) lands under a long-term Federal land lease (at least 20 years); and
 - (f) land that was conveyed to a Native Corporation pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. § 1601 et seq.) and subsequently conveyed to another entity, provided that entity is either a Native village or Tribal governmental entity or the land is held, invested, managed for and on behalf of a Native village or Tribal governmental entity.

For purposes of this Announcement and as defined under Part 503 of EPOA 2005, the term “Indian Reservation” includes an Indian reservation in existence in any State or States as of the date of enactment of Title V of EPOA 2005; a public domain Indian allotment; and a dependent Indian community located within the borders of the United States, regardless of whether the community is on original or acquired territory of the community; or within or outside the boundaries of any State or States.

If an eligible community is part of a regional, non-profit or other organization, that organization can apply on behalf of its eligible communities with proper evidence of Tribal agreements and/or incorporation papers, and letters of commitment from the relevant communities. The organization would receive Technical Assistance in aggregate, reducing overhead costs, and engage the communities within the organization. Though an organization may apply on behalf of multiple communities, only one application may be submitted per community.

2. Phase 2 Eligible Entity

For Phase 2, eligible entities will be those communities designated as Community Efficiency Champions under Phase 1, or eligible organizations applying on their behalf.

- 3. Eligible Uses of Technical Assistance.** See Phase 2 under Section I.E for the types of projects eligible for Technical Assistance. Applicants may request Technical Assistance that is complementary to Technical Assistance provided by other Federal agencies

supporting the RACEE Community Efficiency Champions. However, applications that request Technical Assistance that is duplicative of the Technical Assistance provided by other Federal agencies will not be considered under this Notice.

E. COST SHARING

Cost sharing is not required; however, a demonstration of community commitment to the proposed activity is encouraged for all applications. Cost share can be a demonstration of this commitment.

SECTION III: APPLICATION REVIEW INFORMATION

A. EVALUATION PROCESS

DOE will evaluate the applications in accordance with the evaluation process defined below. After reviewing an application, DOE may contact the applicant to clarify information presented in the application and confirm understanding of the requirements for participation.

DOE will establish an evaluation team of technical and professional staff with relevant experience and/or expertise to review each eligible application received. The evaluation team will be responsible for reviewing, evaluating, and rating the applications as well as making recommendations to the Selection Official, who will make the final determination. DOE reserves the right to accept or reject all or part of any application received that exceeds the allowable budget for Technical Assistance.

For Phase 1, applications may be submitted beginning December 18, 2015, but no later than **5:00 PM ET on February 2, 2016**

For Phase 2, applications may be submitted beginning March 1, 2016, but no later than **5:00 PM ET on March 31, 2016**

This program is intended to accelerate the Community Efficiency Champion's efforts and the period of performance must be completed within 36 months. Applicants will be expected to meet certain baseline requirements to receive Technical Assistance, as described below.

B. CRITERIA

1. Initial Eligibility Review

Prior to a full evaluation, DOE will perform an initial eligibility review to determine that (1) the applicant is an eligible entity under this NOTA; (2) the information required has been submitted; (3) all mandatory requirements are satisfied; and (4) the application is responsive to the objectives of the NOTA. Applications that fail to pass the initial eligibility review will not be forwarded for further review and will be eliminated from further consideration.

2. Review Criteria

Applications will be evaluated and selections will be made based on technical merit, innovation, and impact:

PHASE 1:

Community Efficiency Champion Applications will be reviewed and will be assigned a rating of Qualified or Not Qualified. The application must meet all requirements below to be determined Qualified under Phase 1:

Determination of Qualified or Not Qualified Applications:

Applicant must include a completed pledge form with signatures from all relevant community organizations and/or governments. This will include an agreement to:

1. Pledge to reduce energy use by at least 15% per capita by 2020;
2. Establish agreement among all local leadership (e.g. City, Tribe, Village Corporation) to work together toward the pledge;
3. Participate in the Community Efficiency Champion peer network;
4. Develop a community-wide plan and schedule to achieve energy savings pledge including past successes with energy efficiency projects, barriers to energy efficiency projects and any Technical Assistance received previously on energy efficiency projects, name and description of the potential building or project, including estimated costs, suggested technical providers and partners to implement projects; and the source of the estimates;
5. Identify and develop methods to track energy use and the impact of energy efficiency measures;
6. Provide Community name, population, current energy cost description, residential, proximity to large population center, major employer type and name, economic burden of energy on the community and any other major issues that affect the community;
7. Share information on the energy efficiency implementation models used to achieve the energy savings pledge; and
8. Provide regular progress reports on energy efficiency improvements, energy savings across the community, and energy performance at the facility level as the basis for recognition

PHASE 2:

Applications will be evaluated and selections will be made based on the following criteria:

Topic Area 1:**Technical Merit (40%)**

- Content of the plan to achieve the energy usage reductions. Content of the pledge and the plan refers to the completeness of the Project Summary and the community's plan to address energy usage.
- Applicant's ability to manage the project including commitment of community members.

- The extent to which the proposed work is clear, realistic and appropriate to the Community Efficiency Champion, including described energy usage profile and community economic considerations.
- Use of partnerships to leverage resources and knowledge.

Innovation and replicability (20%)

- Replicability of proposed project to other remote communities in other Alaska or Arctic regions.
- Transformative and innovative aspects of the project for which Technical Assistance is sought.

Impact (40%)

- Level of expected energy saved for the building, project, and/or for the community as a whole.
- Level of impact to the local community, including a consideration of demonstrated needs (for example, economic need, high energy costs, need for maintenance and/or retrofits).
- Sustainability of project impacts beyond the period of Technical Assistance performance

Topic Area 2: Technical Merit (40%)

- Completeness of the Project Summary and the plan to achieve the energy usage reductions.
- Applicant's ability to manage the project including commitment of community members. The extent to which the proposed work is clear, realistic and appropriate to the Community Efficiency Champion, including the described energy usage profile and community economic considerations.
- Use of partnerships to leverage resources and knowledge

Innovation and replicability (20%)

- Replicability of proposed project to other remote communities in other Alaska or Arctic regions.
- Sustainability (i.e. lasting for multiple years) of project for which Technical Assistance is sought;
- Transformative and innovative aspects of the project for which Technical Assistance is sought.

Impact (40%)

- Level of expected energy and cost savings for the building, project, and/or for the community as a whole.
- Level of impact to the local community, including a consideration of demonstrated needs (for example, economic need, high energy costs, need for maintenance and/or retrofits).
- Sustainability of project impacts beyond the period of Technical Assistance performance.

C. OTHER SELECTION FACTORS

Program Policy Factors

In addition to the above criteria, DOE may consider the following Program Policy Factors in determining which Applications to select for Phase 2 Technical Assistance:

1. Geographical diversity of the selected projects.

SECTION IV: APPLICATION SUBMISSION

For Phase 1, applications may be submitted beginning December 18, 2015, but no later than 5:00 PM ET on February 2, **2016**. **For Phase 2**, applications may be submitted beginning March 1, 2016, but no later than 5:00 PM ET on **March 31, 2016**.

Application Due dates are 5:00 PM ET on February 2, 2016 for Phase 1 and 5:00 PM ET on March 31, 2016 for Phase 2.

Applications for both Phases will be accepted through any **ONE of the following means of submission:**

- The EERE online application portal; EERE Exchange at <https://eere-Exchange.energy.gov>
- Email at AlaskaCompetition@hq.doe.gov. or
- Via fax at 240-562-1640
 - When faxing please include a cover page with the following information:
 - To: DOE RACEE NOTA Applications
 - From: Applicant Name and Contact information
 - ATTN: Steven Palmeri
 - # of Pages

The Applicant's Principal Investigator (PI) should receive acknowledgement of receipt of the application within 24 hours (if an email address is included in the Applicant contact information on the application). Please contact AlaskaCompetition@hq.doe.gov if a receipt is not received.

CONTENT AND FORM OF THE APPLICATION

For Phase 1, the applicant must provide the following:

A completed, signed Pledge Form, (available in EERE Exchange under the NOTA “Pre-Application Attachments” section, or available through email request to AlaskaCompetition@hq.doe.gov) or by phone request to U. S. Dept. of Energy, Golden Field Office at 720-356-1764

The applicant will be required to submit a form with all required fields completed in accordance with the instructions on the form. The completed Pledge form will contain the following information:

- 1) Community name;
- 2) Community population;
- 3) Community proximity to large population center;
- 4) An Established agreement among all local leadership (e.g. City, Tribe, Village Corporation) to work together toward the pledge;
- 5) The name and description of the potential building or project, including estimated costs;
- 6) Brief statement how the community tracks energy use now and how TA could improve this;
- 7) A pledge to
 - a) Reduce energy use by at least 15% per capita by 2020;
 - b) Participate in the Community Efficiency Champion peer network;
 - c) Develop a community-wide plan and schedule to achieve energy savings pledge, including past successes with energy efficiency projects;
 - d) Share information on the energy efficiency implementation models used to achieve the energy savings pledge; and
 - e) Provide regular progress reports on energy efficiency improvements, energy savings across the community, and energy performance at the facility level as the basis for recognition

Pledge Forms for Phase 1 must not exceed five pages. Additional pages will not be reviewed.

For Phase 2, the applicant must provide the following:

1. A completed, signed **Application for Federal Assistance (Form SF-424)** in PDF format (available in EERE Exchange under the NOTA “Full Application Attachments” section or available through email request to AlaskaCompetition@hq.doe.gov) or by phone request to U. S. Dept. of Energy, Golden Field Office, 720-356-1764
Complete all required fields in accordance with the instructions on the form. The list of certifications and assurances in Field 21 can be found at <http://energy.gov/management/office-management/operational-management/financial-assistance/financial-assistance-forms> , under Certifications and Assurances.

2. A **Project Summary file (not to exceed 10 pages)** with the following information and / or answers to the following questions:

a) Provide the Community Efficiency Champion name (name of Community) or name of Organization (if applying on behalf of one or more communities):

b) Identify the Primary Point of Contact (Champion or organization applying on behalf of Champion)

(1) Name:

(2) Email:

(3) Phone Number:

c) Identify the Topic Area; Topic Area 1 or Topic Area 2:

Please provide a brief summary of why your Community's Application qualifies for the selected Topic Area.

d) Provide the following Community and Energy Use Information:

(1) What are your current methods to measure or track energy use in the community? For example, do you consistently maintain a ledger for fuel deliveries and/or bills? Do you have a system for tracking extraction from the main fuel tank in town? Have you ever used the ARIS benchmark form?

(2) Provide a current estimate of your community's energy use (such as how many kWhs of electricity, gallons of fuel oil, and gallons of diesel the community has used over the last month, year, or several years) and identify the source of the estimate. This can include energy used for electricity, heat and transportation. (Note: you may use PCE information as a source of electricity used).

(3) What is the economic burden of energy in your community? Please provide the most recent retail price for electricity (per kilowatt-hour, pre-PCE) and heating oil (per gallon)

(4) What, if any, other major issues related to energy affect your community (e.g., transportation costs, inefficient diesel generators, etc.)?

(5) Please describe any buildings or projects you are targeting for this Technical Assistance application. For any buildings listed, please identify who owns the building, the use and size. For projects, please provide as much specifics as possible.

e) Does your community have needs in the following areas? List all that apply:

- (1) Energy Project planning & project development
- (2) Energy use tracking (electric and / or heat)
- (3) Energy use measurement
- (4) Building/facility energy audits
- (5) Power house audit
- (6) Heat recovery feasibility
- (7) Water/sewer energy audit
- (8) Assessing project finance options
- (9) Analysis of energy use
- (10) Maintenance needs for energy efficiency improvements
- (11) Residential weatherization (# of homes)
- (12) Community building/facility efficiency improvements (# of buildings, by building name and owner)
- (13) Outdoor lighting upgrades
- (14) Water/sewer efficiency improvements
- (15) Power house upgrade
- (16) Renewable energy integration
- (17) Electric transmission and/or distribution upgrade
- (18) Transportation efficiency (please describe)

f) Describe any other needs not addressed under the above categories

g) Briefly describe needed assistance related to the categories selected above:

h) Energy use measurement. Please describe how you measure or propose to measure changes in energy usage for this technical assistance project

i) Describe how you will ensure this project will have the support of all major leadership bodies within your community (e.g. city government, tribal government, village corporation, etc.).

j) Describe any Technical Assistance received related to community energy strategies or energy efficiency projects. Identify the provider, amount of assistance received in terms of equivalent dollar amounts if known, and the timeframe. Also identify if and when any of the following were developed:

- i) An efficiency project plan;
- ii) An energy usage baseline, including a description of any savings and methodology;
- iii) Building/facility audits;
- iv) Estimation of project costs(Include a description of the specific project);

- v) Engineering studies (please be specific);
- vi) Identification of management and design team;
- vii) Permitting strategy

k) Please provide any success stories of energy projects in your community

l) Describe your strategy to reach the 15% reduction in per capita energy use across your community by answering the following questions.

- i) What is your strategy in meeting your energy pledge goals? For example, identify specific targets (heating oil use reduction in x number of community buildings, reduce total energy used by the water treatment plant, etc.). As appropriate include both energy reduction goals and other goals, such as cost savings, improved livability of buildings, etc.
- ii) How will this Technical Assistance help your community achieve your energy saving goals? Please describe and be as specific as possible.
- iii) Please describe your community-wide plan to achieve the energy reductions under your pledge and include a general timeline for meeting it, if possible. For Topic 2, please specify expected energy savings in quantifiable terms (e.g., kWhs of electricity saved per month, percentage reduction in fuel use).
- iv) What has prevented you from undertaking energy projects in the past? How can the RACEE competition help you overcome those barriers?
- v) Have you identified any providers (consultants/contractors/vendors) to assist you in developing or implementing your project plans? If so, please identify.
- vi) Please provide a list of existing energy-related and/or community partnerships that may be used to leverage resources and knowledge in implementing your energy efficiency strategy:
- vii) What are the anticipated impacts of the proposed work on the community (economic, quality of life, social, health, etc.)?

viii) Can the results of the Technical Assistance you've requested be applied in other Remote Arctic Communities? Can you name other remote Alaskan communities or regions that would be interested in or need similar work?

ix) How will the project impacts be able to continue beyond the period of Technical Assistance performance?

For Phase 2, all submissions must conform to the following form and content requirements, including maximum page lengths, described above.

DOE will not extend deadlines for Applicants who fail to submit required information and documents.

To be considered eligible for review, the Phase 2 Application must be:

- Comprehensive in providing the requested information
- Written in English
- Formatted to fit on 8.5 x 11 inch paper with margins not less than one inch on every side. Use Times New Roman typeface, a black font color, and a font size of 12 point or larger (except in figures or tables, which may be 10 point font). A symbol font may be used to insert Greek letters or special characters, but the font size requirement still applies. References must be included as footnotes or endnotes in a font size of 10 or larger. Footnotes and endnotes are counted toward the maximum page requirement.
- Formatted with the Champion Community Name prominently displayed on the upper right corner of the header of every page. Page numbers must be included in the footer of every page.
- Within the specified maximum page limit, including cover page, charts, graphs, maps, and photographs when printed using the formatting requirements set forth above and single spaced. If Applicants exceed the maximum page lengths indicated below, DOE will review only the authorized number of pages and disregard any additional pages.

Applicants are responsible for meeting the submission deadline. **Applicants are strongly encouraged to submit their Application at least 48 hours in advance of the submission deadline.**

SECTION V: ADDITIONAL INFORMATION REGARDING EXCHANGE

PLEASE NOTE:

Applicants are encouraged to use EERE Exchange, but it is not required to use Exchange when applying under either Phase of this NOTA. Applicants may use email or fax to submit applications, as described in section IV. Please only submit applications by one method.

Step 1. Register in Exchange

Register and create an account on EERE Exchange at <https://eere-Exchange.energy.gov>.

This account will then allow the user to register for any open Opportunity Announcements that are currently in EERE Exchange. It is recommended that each organization or business unit, whether acting as a team or a single entity, use only one account as the contact point for each submission. Applicants should also designate backup points of contact so Applicants may be easily contacted if deemed necessary.

Step 2. Locate the Appropriate Funding Opportunity

After registering in EERE Exchange, the Applicant then locates the appropriate NOTA on the Exchange home page at:

<https://eere-exchange.energy.gov>/and clicks on the “Apply” button.

Step 3. Complete the Required Information Fields

From this point onward, Exchange will prompt the user to complete the required fields. All document templates required for submitting an application will be available in Exchange.

Step 4. Submit the Required Documents (Upload to Exchange)

Documents submitted through EERE Exchange must be titled “ControlNumber_LeadOrganization_FormName” and must be submitted in Portable Document Format (PDF).

Applicants should allow at least one hour to submit the application. Once the Application is submitted in EERE Exchange, Applicants may revise or update their application until the expiration of the applicable deadline.

EERE Exchange is designed to enforce the deadlines specified in this NOTA. The “Apply” and “Submit” buttons will automatically disable at the defined submission deadlines. Should Applicants experience problems with Exchange, the following information may be helpful:

Applicants that experience issues with submission PRIOR to the NOTA deadline: In the event that an Applicant experiences technical difficulties with a submission, the Application should contact the Exchange helpdesk for assistance (EERE-ExchangeSupport@hq.doe.gov). The Exchange helpdesk and/or the EERE Exchange system administrators will assist Applicants in resolving issues.

Applicants that experience issues with submissions that result in late submissions: In the event that an Applicant experiences technical difficulties so severe that they are unable to submit their application by the deadline, the Applicant should contact the Exchange helpdesk for assistance (EERE-ExchangeSupport@hq.doe.gov). The Exchange helpdesk and/or the EERE Exchange system administrators (EERE-ExchangeSupport@hq.doe.gov) will assist the Applicant in resolving all issues (including finalizing submission on behalf of and with the Applicant's concurrence). PLEASE NOTE, however, those Applicants who are unable to timely submit their application due to their waiting until the last minute when network traffic is at its heaviest to submit their materials will not be able to use this process.

SECTION VI: ADMINISTRATIVE INFORMATION

A. QUESTIONS/AGENCY CONTACTS

Upon the issuance of this Notice of Technical Assistance (NOTA), DOE personnel are prohibited from communicating (in writing or otherwise) with Applicants regarding the NOTA except through the established question and answer process as described below. Specifically, questions regarding the content of this NOTA must be submitted via email to AlaskaCompetition@hq.doe.gov or by phone request to U. S. Dept. of Energy, Golden Field Office, 720-356-1764 not later than 3 business days prior to the application due date. DOE will attempt to respond to a question within three business days.

Questions related to the use of the EERE Exchange website should be submitted to: EERE-ExchangeSupport@hq.doe.gov.

B. DISCLOSURE OF LOBBYING ACTIVITIES

Applicants may not use any Federal funds to influence or attempt to influence, directly or indirectly, congressional action on any legislative or appropriation matters.

C. TREATMENT OF APPLICATION INFORMATION

In general, DOE will use data and other information contained in applications for evaluation purposes only unless such information is generally available to the public or is already the property of the Government.

Applicants should not include trade secrets or commercial or financial information that is privileged or confidential in their application unless such information is necessary to convey an understanding of the application or to comply with a requirement in the NOTA. Applications containing trade secrets or commercial or financial information that is privileged or confidential, which the Applicant does not want disclosed to the public or used by the Government for any purpose other than application evaluation, must be marked as described in this section.

The cover sheet of the application must be marked as follows and must identify the specific pages containing trade secrets or commercial or financial information that is privileged or confidential:

Notice of Restriction on Disclosure and Use of Data:

Pages [list applicable pages] of this document may contain trade secrets or commercial or financial information that is privileged or confidential, and is exempt from public disclosure. Such information shall be used or disclosed only for evaluation purposes or in accordance with an assistance agreement or Memorandum of Agreement between the submitter and the Government. The Government may use or disclose any information that is not appropriately marked or otherwise restricted, regardless of source. [End of Notice]

The header and footer of every page that contains trade secrets or commercial or financial information that is privileged must be marked as follows: "May contain trade secrets or commercial or financial information that is privileged or confidential and exempt from public disclosure."

In addition, each line or paragraph containing trade secrets or commercial or financial information that is privileged or confidential must be enclosed in brackets.

The above markings enable DOE to follow the provisions of 10 CFR 1004.11(d) in the event a Freedom of Information Act (FOIA) request is received for information submitted with an application. Failure to comply with these marking requirements may result in the disclosure of the unmarked information under a FOIA request or otherwise. The U.S. Government is not liable for the disclosure or use of unmarked information, and may use or disclose such information for any purpose.

Subject to the specific FOIA exemptions identified in 5 U.S.C. 552(b), all information submitted to DOE by an Applicant is subject to public release under the Freedom of Information Act, 5 U.S.C. §552, as amended by the OPEN Government Act of 2007, Pub. L. No. 110-175. It is the Applicant's responsibility to review FOIA and its exemptions to understand (1) what information may be subject to public disclosure and (2) what information Applicants submit to the Government that are protected by law. In some cases, DOE may be unable to make an independent determination regarding which information submitted by an Applicant is releasable and which is protected by an exemption. In such cases, DOE will consult with the Applicant, in accordance with 10 C.F.R. §1004.11, to solicit the Applicant's views on how the information should be treated.

D. EVALUATION AND ADMINISTRATION BY NON-FEDERAL PERSONNEL

In conducting the merit review evaluation, the Government may seek the advice of qualified non-Federal personnel as reviewers. The Government may also use non-Federal personnel to conduct routine, nondiscretionary administrative activities. The Applicant, by submitting its

application, consents to the use of non-Federal reviewers/administrators. Non-Federal reviewers must sign conflict of interest and non-disclosure agreements prior to reviewing an application. Non-Federal personnel conducting administrative activities must sign a non-disclosure agreement.

E. ENVIRONMENTAL REVIEW IN ACCORDANCE WITH NATIONAL ENVIRONMENTAL POLICY ACT (NEPA)

Technical Assistance will be subject to the National Environmental Policy Act (42 USC 4321, et seq.). NEPA requires Federal agencies to integrate environmental values into their decision-making processes by considering the potential environmental impacts of their proposed actions. For additional background on NEPA, please see DOE's NEPA website at <http://nepa.energy.gov/>.

While NEPA compliance is a Federal agency responsibility and the ultimate decisions remain with the Federal agency, all Community Efficiency Champions designees selected to receive Technical Assistance will be required to assist in the timely and effective completion of the NEPA process.

As required under the National Environmental Policy Act (NEPA), if DOE selects a project for Technical Assistance, DOE must consider the potential environmental impacts of the proposed project before DOE will authorize the expenditure of federal funding for the Technical Assistance Teams to provide Technical Assistance on the proposed project. If the applicant carries out activities that would have an adverse effect on the environment or limit the choice of reasonable alternatives prior to receiving a written final NEPA determination, the applicant is doing so at risk of not receiving Technical Assistance.

F. SELECTION NOTICES

Selected Applicants Notification: DOE will notify applicants selected under this Notice of Technical Assistance. Notice of selection is not an authorization to begin performance.

Non-selected Notification: Organizations whose applications have not been selected will be advised as promptly as possible.

G. PROTECTED PERSONALLY IDENTIFIABLE INFORMATION

In responding to this NOTA, Applicants must ensure that Protected Personally Identifiable Information (PII) is not included. PII is defined by the Office of Management and Budget (OMB) and DOE as:

Any information about an individual maintained by an agency, including but not limited to, education, financial transactions, medical history, and criminal or employment history and information that can be used to distinguish or trace an individual's identity, such as their name, social security number, date and place of birth, mother's maiden name, biometric records, etc., including any other personal information that is linked or linkable to an individual.

This definition of PII can be further defined as: (1) Public PII and (2) Protected PII.

Public PII: PII found in public sources such as telephone books, public websites, business cards, university listing, etc. Public PII includes first and last name, address, work telephone number, email address, home telephone number, and general education credentials.

Protected PII: PII that requires enhanced protection. This information includes data that if compromised could cause harm to an individual such as identity theft.

Listed below are examples of Protected PII that Applicants must not include in the files listed above to be evaluated by the Merit Review Committee.

- Social Security Numbers in any form
- Place of Birth associated with an individual
- Date of Birth associated with an individual
- Mother's maiden name associated with an individual
- Biometric record associated with an individual
- Fingerprint
- Iris scan
- DNA
- Medical history information associated with an individual
- Medical conditions, including history of disease
- Metric information, e.g. weight, height, blood pressure
- Criminal history associated with an individual
- Employment history and other employment information associated with an individual
- Ratings
- Disciplinary actions
- Performance elements and standards (or work expectations) are PII when they are so intertwined with performance appraisals that their disclosure would reveal an individual's performance appraisal
- Financial information associated with an individual
- Credit card numbers
- Bank account numbers
- Security clearance history or related information (not including actual clearances held)

Listed below are examples of Public PII that Applicants may include in the files listed above to be evaluated by the Merit Review Committee:

- Phone numbers (work, home, cell)
- Street addresses (work and personal)
- Email addresses (work and personal)
- Digital pictures
- Medical information included in a health or safety report
- Employment information that is not PII even when associated with a name
- Resumes, unless they include a Social Security Number
- Present and past position titles and occupational series
- Present and past grades

- Present and past annual salary rates (including performance awards or bonuses, incentive awards, merit pay amount, Meritorious or Distinguished Executive Ranks, and allowances and differentials)
- Present and past duty stations and organization of assignment (includes room and phone numbers, organization designations, work email address, or other identifying information regarding buildings, room numbers, or places of employment)
- Position descriptions, identification of job elements, and those performance standards (but not actual performance appraisals) that the release of which would not interfere with law enforcement programs or severely inhibit agency effectiveness
- Security clearances held
- Written biographies (e.g. to be used in a Technology Office describing a speaker)
- Academic credentials
- Schools attended
- Major or area of study
- Personal information stored by individuals about themselves on their assigned workstation or laptop unless it contains a Social Security Number